Sheet 1 of 2 MAY 1 7 2004 Serial No. Attorney Docket No. Form PTO-1449 (modified) SVL920020051S1 LIST OF PATENTS AND PUBLICATIONS Applicants: APPLICANT(S)' INFORMATION DISCLOSURE

STATEMENT The several sheets if necessary)

Filing Date:

November 26, 2003

Hongxia Jin, et al.

Group Art Unit:

U.S. PATENT DOCUMENTS

RADEMAN Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
FA	2002/0194482A1	12.19.2002	Griffin et al.	713/176	06.18.2002
	5,920,861	07.06.1999	Hall et al.	707/9	02.25.1997
	6,092,147	07.18.2000	Levy et al.	711/6	04.15.1997
	5,692,047	11.25.1997	McManis	380/4	12.08.1995
	6,067,575	05.23.2000	McManis et al.	709/300	12.08.1995
FA	5,917,912	06.29.1999	Ginter et al.	713/187	01.08.1997
	· · · · · · · · · · · · · · · · · · ·	·			

F	Ya	mi	ne	r

Date Considered

FIKEMATIAM Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

	Officer E of E		
Attorney Docket No. Serial No. SVL920020051S1			
Applicants: Hongxia Jin, et	al.		
Filing Date: November 26, 2003	Group Art Unit:		
	SVL920020051S1 Applicants: Hongxia Jin, et		

MAY 1 7 2004 W

FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Country	International Class / Subclass	Transla Yes	ation No

PUBLICATIONS / OTHER ART

Examiner Initials	Citation
FA	Dan Wallach et al., "Extensible Security Architectures for Java," ACM 1997
7	Proceedings of the Sixth International Conference on Computer Supported Cooperative Work in Design, July 12-14, 2001, London, Ontario, Canada, pp 330-337.
	Extensible security architectures for Java, available on July 2, 2003, at: http://portal.acm.org/citation.cfm?id=266668&coll=ACM&dl=ACM&CFID=11120545&CFT(
FA	Mat Bishop, "Analyzing the Security of an Existing Computer System," IEEE 1986

Examiner	,	Date Co	nsidered ,)
· .	FIRTEMATIO	in Valey	09/20/200

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10723725	
	Filing Date		2003-11-26	
INFORMATION DISCLOSURE	First Named Inventor Hongx		ngxia Jin	
(Not for submission under 37 CFR 1.99)	Art Unit		2131	
(Not for Submission under or or it issue	Examiner Name A		R Sheikh	
	Attorney Docket Numb	er	ARC920030051US1	

-1-(0-1-a-	U.S.PATENTS Remove										
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	name of Patentee or Applicant			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1										
If you wisl	h to ac	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.	1	Add		
			U.S.P	ATENT	APPLI	CATION PUBL	ICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	tion	Name of Pate of cited Docu	entee or Applicant ment	Releva		Lines where ges or Relev	
ΕV	1	20020184520	A1	2002-12	2-05	Bush, William R					
F&	2	20030135746	A1	2003-07	7-17	Abbott, Paul Harry		:			
If you wis	h to a	dd additional U.S. Publi	shed Ap	plication	citatio	n information p	lease click the Ad	d button	_		
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patente Applicant of cited Document	e or	where Rel	or Relevant	T 5
FA	1	0875814	EP			1998-11-04	Sony Corporation		English Ab	stract	
FA	2	PCT/EP2004/052884	EP			2005-04-12	IBM		(Search rep correspond current US		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10723725	
Filing Date		2003-11-26	
First Named Inventor	Hong	gxia Jin	
Art Unit	'	2131	
Examiner Name	Ayaz	z R Sheikh	
Attorney Docket Numb	er	ARC920030051US1	

4	3	PCT/EP2004/052884	EP		2005-06-23	ІВМ	(Written opinion corresponding to current US application)		
If you wis	h to ac	ld additional Foreign Pa	atent Document	citation	information pl	ease click the Add butto	n Add		
		 	NON-PATE	NT LITE	RATURE DO	CUMENTS	Remove		
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								T5	
FA	1	SCOTT OAKS: "Java Security", May 2001, O'Reilly, Sebastopol, CA 95472, XP002321663, pages 261-287 and 309-310.							
T.A.	2	"Java(TM) Platform Debugger Architecture - Architecture Overview," retrieved from the following url: http://java.sun.com/j2se/1.3/docs/guide/jpda/architecture.html, on August 11, 2006.							
L A	3	"Java(TM) Platform Debugger Architecture - Overview," retrieved from the following url:http://java.sun.com/j2se/1.5.0/docs/guide/jpda/jpda.html, on August 11, 2006.							
If you wis	h to a	dd additional non-paten	t literature docu	ment ci	tation informati	ion please click the Add	button Add		
,			EX	AMINE	R SIGNATUR	E			
Examiner	Signa	iture 1	•			Date Considered	09/20/2004		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
Standard S	¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								